

Service	Particulars	Charges (on Gross Value)	Minimum	Maximum	
Trade-related services	Brokerage Commission (4)	Local trade : 0.25%	HKD125/CNY125	-	
		I-Trade: 0.15%	HKD75/CNY75	-	
	Transaction Levy (5)	0.0027%	-	-	
	FRC Transaction Levy	0.00015%(rounded to the nearest cent)	-	-	
	Trading Fee (5) (7)	0.00565%	-	-	
	Settlement Fee (4)	0.005%	HKD2/CNY2	HKD100/CNY100	
	Stamp Duty (5)	0.13%	(round up to the nearest HKD1)		
Shanghai/Shenzhen - Hong Kong Stock Connect - Northbound Trade Related Service Fees and Stamp Duty	Brokerage Commission	0.25%	CNY125	-	
	Handling Fee	0.00487%	-	-	
	Securities Management Fee	0.002%	-	-	
	Transfer Fee (6)	0.003%	-	-	
	Stamp Duty	0.1% (Sell Trade Only)	-	-	
	Portfolio Fee	0.008% per annum of the Portfolio Value (Fee calculated on aggregate daily stock portfolio value in Accounts and collected on a monthly basis)			
Script handling and settlement-related services	Physical Stock Deposit				
	Transfer Deed Stamp Duty	HKD5	-	-	
	Late Charge (afterT+1)	HKD150 / stock	-	-	
	Physical Stock Withdrawal				
	CCASS(1) Charge	HKD3.50 / board lot	-	-	
	Handling Charge	HKD50 / stock	HKD150	-	
	Handling Charge for Share Certificate Rejected by Registrar	HKD150 / stock	-	-	
	For CCASS(1) SI/ISI(2) transfer				
	Input Fee (4)	HKD1/CNY1	-	-	
	Settlement Fee (4)	0.005%	HKD2/CNY2	HKD100/CNY100	
	Handling Charge (4)	SI:HKD100/CNY100	-	-	
		ISI: HKD50/CNY50	-	-	
	Compulsory Share Buy-back				
	CCASS(1)Default Fee	0.5% on gross value of transaction	-	-	
	Handling Charge	0.5% on gross value of transaction	-	-	
Information Services	HK Market Real-time Quotes (Non-China clients) PowerTicker (MegaHub)	HK\$350/month	-	-	
	HK Market Real-time Quotes (China clients) PowerTicker (MegaHub)	HK\$240/month	-	-	
	HK Market Real-time Snapshot Quotes (MegaHub)	HK\$0.11 per click	-	-	
	US Market Real-time Quotes for non-professional subscriber	USD5/month	-	-	
	US Market Real-time Quotes for professional subscriber	USD50/month	-	-	
Account Maintenance	Stock Custody Fee	HKD0.012 per board lot or part thereof, calculated on aggregate month-end stock quantity balances	HKD5.00 / month	-	
	Reproducing of Monthly Statement	HKD10 / copy (within One Year)	-	-	
	Confirmation of Securities or Account Balance	HKD150	-	-	
	CCASS(1) Segregate Account Monthly Charge	HKD10 / month	(Handling for account opening HKD100.00 per request)		
Nominee Services and Corporate Actions	Corporate Action				
	CCASS(1) Corporate Action(3) Charge	HKD0.80 / board lot or part thereof	-	-	
	Handling Charge	HKD50 / order	-	-	
	Cash Dividen				
	Scrip Fee	HKD1.50 / board lot or part thereof on net increase in balances in the stock since the date on which fee was last collected			
	CCASS(1) Dividend Levy	0.12% on dividend amount	-	-	
	Handling Charge	0.50% on dividend amount	HKD10	-	
	Stock Dividend				
	Scrip Fee	HKD1.50 / board lot or part thereof on net increase in balances in the stock since the date on which fee was last collected			
	Handling Charge	HKD10 / allocation	-	-	
	Retrace Divident from CCASS(1)				
	CCASS(1) Charge	HKD200 / claim	-	-	
	Handling Charge	Claim amount 1% (min HKD500 / order)	-	-	
	Financing and Other Services	Cash Account Overdue Interest	Prevailing prime rate + 8%		
		Margin Account Financing Rate	Prevailing prime rate + 4%		
Handling for IPO Subscription(4)		HKD100 / CNY100			
Financing Rate for IPO Subscription		Subject to market rate			
Penalty for Returned Cheque		HKD50			
Local Remittance (CHATS)		HKD100 / order			
Overseas Remittance (T.T.)		HKD50 + Bank charges			

(1) CCASS - Central Clearing and Settlement System

(2) SI - Settlement Instruction, ISI - Investor Settlement Instruction

(3) Rights, Offer, Warrant and Takeover subscriptions, etc.

(4) Charges quoted are denominated in the same currency as the relevant securities.

(5) The stamp duty, SFC levy and SEHK trading fee for RMB denominated securities will be calculated in HKD and then collected in CNY equivalent.

(6) China Securities Depository and Clearing Corporation Limited revised the A shares Transfer Fee effective from 29 April 2022.

(7) With effect from Sunday, 1 January 2023, the ad valorem trading fee rate will be increased from 0.005% to 0.00565%. The new trading fee rate will be applicable to all secondary market trades recognized by SEHK and primary market activities including new issues and offer for sale.

All other disbursements and out-of-pocket expenses incurred by the Oriental Patron will be charged to the accounts of the respective clients.